

Step Forward Financial LLC

Privacy Notice

Effective Date: February 13, 2025

Our Commitment to You

Trust and fiduciary duty are central to our relationships with you at Step Forward Financial. We are committed to protecting the confidentiality and privacy of your personal information.

We do not sell your personal information to anyone. We do not share such information to anyone other than proper business purposes, disclosures required by law or with your consent.

We will provide you with this privacy notice annually.

Why You Need to Know

We share some of your personal information. Federal and State laws give you the right to limit some of this sharing. Federal and State laws require us to disclose how we collect, share, and protect your personal information.

Why We Collect Your Information

We gather information about you so that we can:

- Help design and implement the planning services we provide you; and
- Comply with the Federal and State laws and regulations that govern us.

What Information We Collect

We may collect the following types of your personal non-public information:

- Identity information such as name, address, and phone number(s); demographic information such as age, marital status, and occupation; financial information such as income, expenses, assets and liabilities
- Information that we generate to service your financial needs
- Information that we may receive from third parties with respect to your financial profile

How We Share Your Information

We are permitted by law to disclose your personal information to unaffiliated third parties in certain limited circumstances in connection with the planning services we provide you.

Otherwise, we will not disclose your personal information to anyone other than disclosures required by law or with your consent.

How We Protect Your Information

We restrict and limit access to your personal information only to those who have proper business purposes. We maintain appropriate physical, electronic, and procedural safeguards to protect the confidentiality and privacy of your personal information.

Changes to Privacy Notice

When we make material changes to our privacy notice, we will provide you with a revised copy.

Questions

Please contact us at 714-603-6877 if you have any questions about this privacy notice.